



# Contact form ODIN Fund Management

(To be updated on a continuous basis)



Updated: 2010-05-26

## 1. Company information

Social security nr./Corp. reg. nr.:

Name and registered office of company/institution: .....

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Telephone: ..... Fax: .....

E-mail (incl. name of contact): .....

Address for all correspondence (if different from registered office): .....

.....

Verification of regulation e.g. reference number with regulatory authority (if applicable): .....

VPS-account:

## 2. Bank details (to be used in connection with payment)

Name of bank: .....

Address of bank: .....

BIC code: .....

IBAN account nr:

## 3. Legal entities

ODIN, as a fund management company, is obliged in accordance with the Act on Measures against Money Laundering and Terrorist Financing (Sw. Lag om åtgärder mot penningtvätt och finansiering av terrorism (SFS 2009:62)) to identify the beneficial owners of our customers. Consequently, if a subscription of fund units is carried out by a legal entity which has natural person(s) who direct or indirectly holds more than:

- 25 % of the shares,
- the voting right, or
- in any other way exercises control over the legal entity

### ODIN requires the following:

- the legal entity's shareholders' register (if applicable), or
- that the name, date of birth/social security number and the percentage of the total share/voting right for the/these natural person(s) is/are stated below:

Name	Date of birth	Percentage of share or voting power

Is/are the above mentioned person(s) politically exposed person(s) ("PEP")? Yes  No

**Who is a PEP?** A PEP is a person who presently or previously has had an important official function, such as head of state, head of government, minister, vice and deputy minister, member of parliament, supreme court judges, ambassadors, diplomatic officials as well as military high rank officers. Therefore please advise if you, a close family member or a close associate are a PEP.

**Why do we ask?** Pursuant to the new anti-money laundering legislation (based on the third EU anti-money laundering directive), effective in Sweden as of 15 March 2009, we are compelled to ask all investors not resident in Sweden certain questions in order to identify so called politically exposed persons ("PEP"), and their close family members and close associates. We do apologise for the inconvenience and hope for your understanding. Please do not hesitate to contact us should you have any questions with regard to the above.

## 4. The following documents shall be enclosed to this contact form

(please confirm by ticking the appropriate box)

- Company certificate of registration (not older than 3 months)
- Signature list with names, positions, phone number, fax and e-mail to the individuals who are authorised to place orders (subscription/transfer and redemption) on the account, signed by an authorised signatory for the company/institution.
- Certified copies of proof of identity for the individuals set out in the signatory list, as well as the individual verifying such list. Proof of identity shall contain a photograph, signature, date of birth and/or personal identity number and shall be legalised by a notary public or other official authority, such as the police, bank, lawyer or an approved foreign financial institutions under the jurisdiction of an EEA approved financial regulator. (Provided on being domiciled within the EEC all banks, life insurance companies, securities companies, credit institutions, financial institutions under supervision of national financial services authority and listed limited liability companies do not have to enclose certified copies of proof of identity for the individuals set out in the signatory lists as well as persons verifying such lists.)
- Contact list with names, positions, phone number, fax and e-mail to the individuals which ODIN may contact regarding the account.
- Please tick box if daily prices are required by e-mail and confirm e-mail address.
- Nominee authorisation from Norwegian FSA (Finanstilsynet), if applicable.

Date and place: .....

Signature (authorised signatory): .....

Clarification of signature: .....

### Please send form to:

ODIN Fonder, Stureplan 13, S-111 45 Stockholm, Sweden